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(54) Title: A METHOD AND SYSTEM FOR MAINTAINING ACCESS SECURITY OF INPUT AND OUTPUT OPERATIONS IN A COMPUTER SYSTEM

(57) Abstract

Disclosed is a computer system and a method under which a personal computer or a workstation may use commercial off-the-shelf software application packages with a commercially available operating system while providing features of multi-level security including mandatory access controls and propagation of classification levels and codewords when information is moved between documents. Users are allowed to manually reclassify documents (including downgrading) as necessary. The present invention may also be embodied to provide security when computer are on a network by means of a secure file server.

1 **A METHOD AND SYSTEM FOR**
2 **MAINTAINING ACCESS SECURITY OF INPUT AND OUTPUT**
3 **OPERATIONS IN A COMPUTER SYSTEM**

4 **BACKGROUND OF THE INVENTION**
5   1. Field of the Invention
6   This invention relates in general to computer security
7 systems, and, more particularly, to a computer security
8 system and a method for automatically limiting user access
9 to information stored in the computer in accordance with a
10 predetermined, but variable, user security profile of
11 permissible operations for each user that aids the user in
12 properly classifying documents.

13   2. Description of the Related Art
14   Previous   implementations   of   secure   computer
15 workstations required the use of a special operating system
16 and could not provide security when commercial off-the-
17 shelf ("COTS") software application packages were used.
18 Such systems, commonly called "Compartmented Workstations",
19 are notoriously inconvenient to use and do not allow for
20 data merger of documents and downgrading of documents.
21   In general, these previous implementations do not: (1)
22 run on the popular, commercially available computers; (2)
23 allow usage of a broad spectrum of COTS applications and
24 not just "trusted" applications that have been security
25 tested or qualified; (3) allow merger of data of different
26 security levels; (4) allow usage of the standard operating
27 system.

28 **OBJECTS AND SUMMARY OF THE INVENTION**
29   Therefore, it is an object of the present invention to
30 provide a method and system for providing security for
31 documents and data that does not require the use of
32 "trusted" applications only, but allows usage of commercial
33 off-the-shelf software application packages.
34   It is still another object of the present invention to

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1   provide a method and system for providing security for
2   documents and data that provides propagation of security
3   labels when data is moved between documents.
4   It is still another object of the present invention to
5   provide a method and system for providing security for
6   documents and data that puts the users in control of their
7   documents provided that they have necessary security access
8   rights.
9   It is another object of the present invention to
10 provide a method and system for providing security for
11 documents and data that facilitates, rather than prevents,
12 data merger of documents classified at different security
13 levels.
14   It is yet another object of the present invention to
15 provide a method and system for providing security for
16 documents and data that not only prevents unauthorized
17 access to files and data, but which also aids the user in
18 properly classifying documents and data retained on the
19 system or manipulated by the method of the present
20 invention.
21   Other objects of the present invention are: it does
22 not require the development of a "trusted" operating
23 system, but rather exists as an extension to the existing
24 operating system; provides security of documents on a
25 network at the workstation level; concentrates on
26 "detection and audit" of "curious," "hostile" or
27 "mischievous" action by users as opposed to "prevention" of
28 such so that more trust is placed on the users allowing for
29 a more user friendly system.
30   The present invention provides a computer system and
31 a method under which a personal computer or a workstation
32 may use commercial off-the-shelf software application
33 packages with a commercially available operating system
34 while providing features of multi-level security including
35 mandatory access controls and propagation of classification
36 levels and codewords when information is moved between
37 documents.   Users are allowed to manually reclassify

1   documents (including downgrading subject to restrictions)
2   as necessary. The present invention may also be embodied
3   to provide security when computer are on a network by means
4   of a secure file server.
5   The novel features of construction and operation of
6   the invention will be more clearly apparent during the
7   course of the following description, reference being had to
8   the accompanying drawings wherein has been illustrated a
9   preferred form of the device of the invention and wherein
10   like   characters   of   reference   designate   like   parts
11   throughout the drawings.

12   **BRIEF DESCRIPTION OF THE FIGURES**
13   FIGURE 1 is a block diagram flowchart showing the
14   general overall logic flow through a system incorporating
15   the present invention;
16   FIGURE 2 is an idealized block diagram flowchart
17   showing the general overall operational flow through a
18   system incorporating the present invention;
19   FIGURE 3 is an idealized diagram showing the various
20   input/output operations occurring in a system embodying the
21   present invention; and,
22   FIGURE 4 is an idealized block diagram showing a
23   structure for the User Access Table and acceptable sub-
24   field structure.

25   **DESCRIPTION OF THE PREFERRED EMBODIMENT**
26   A preferred form of the invention as embodied in a
27   method and computing system for providing occurrence level,
28   value based security protection, limiting for each user
29   access to preselected, but variable Input/Output operations
30   on selected data objects in the computer system is now
31   described.
32   In general, as shown in FIGURE 1, the invention is
33   found   in   a   computer   system   interfacing   Input/Output
34   requests between at least one user, identified by a unique
35   user identification symbol, and the computer system having

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1   at least one data object containing data therein. The
2   method comprises operating the computer to automatically
3   perform the following steps.
4   A data object security access label, representing a
5   security profile defining a user security access level and
6   the Input/Output operations permitted on the data object,
7   is established and associated with each data object
8   selected for security protection 10. Such data objects are
9   always given this security access label and include "saved"
10   documents or text files generated by the application
11   programs that may be running on the computer system.
12   A user security access table is also established 12
13   that has, for each user selected to have Input/Output
14   access to the data objects in the computer system, a first
15   entry identifying the user by the unique user
16   identification symbol, and a second entry representing a
17   user security profile for the particular user. The second
18   entry is used to define the security access level of the
19   associated user.
20   A session security level "flag" is set to a
21   preselected default condition representing one of the
22   security access levels 14.
23   Each user request to the computer system is parsed to
24   extract each Input/Output request 16. For each of the
25   found Input/Output requests (1) the unique user
26   identification symbol of the user making the Input/Output
27   request; (2) the data object that is the subject of the
28   Input/Output request; and (3) the requested Input/Output
29   operation are then extracted.
30   The unique user identification symbol is compared with
31   the first entry of the user security access table. a user
32   security access "flag" at the computer system is set to an
33   "allowed" condition and a user security level "flag" is set
34   to the security access level defined by the second entry of
35   the user security access table associated with the user
36   identification symbol if a match is found, and otherwise
37   setting each "flag" to a "denied" condition 18.

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1   The requested Input/Output operation being requested
2   is compared with the data object security access label
3   associated with the data object that is the subject of the
4   Input/Output request, and at the computer system a data
5   object security access "flag" is set to an "allowed"
6   condition if a match is found and otherwise to a "denied"
7   condition 20.

8   The session security level "flag" is compared to the
9   user security access level defined in the security profile
10 for the data object that is the subject of the Input/Output
11 request, and the session security level "flag" is set to
12 the predetermined "higher" security level 22.

13   Once the flags have been set, the Input/Output request
14 is returned to the computer system for processing whenever
15 the user security access "flag" and the data object
16 security access "flag" are both in the "allowed" condition
17 24.

18   It is also preferred that the method of the present
19 invention including writing at the computer system to a
20 security violation log the unique user identification
21 symbol whenever the user security access flag, the user
22 security level flag or the data object security access flag
23 is in said "denied" condition, and canceling the execution
24 of the parsed Input/Output request by the computer system.

25   Similarly, it is also preferred that when a violation
26 or attempted breach of security is discovered, the
27 invention returns a preselected message to the computer
28 system user whenever the user security access flag, the
29 user security level flag or the data object security access
30 flag is in the "denied" condition.

31   Also, for ease of changing the various security levels
32 on the various data objects held in the computer system, it
33 is preferred that the method allow the computer system user
34 to access and modify the data object security label
35 whenever the user security access flag, the user security
36 level flag, and the data object security access flag are
37 each in an "allowed" condition.

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1   Finally, the data object security access label, the
2   user security access table and session security level flags
3   are preferably retained at the computer system until the
4   computer system user logs off the computer system.
5   In Figure 2, the present invention is shown in an
6   idealized block diagram flowchart showing the general
7   overall operational flow through a system incorporating the
8   present invention where a user 26 has launched two
9   applications 28, 30, respectively. As shown in the
10   drawing, the user 26 and each application 28, 30, has a
11   Security Label 26a, 28a, 30a respectively, associated with
12   it. The Security Labels are a data structure which defines
13   access requirements, and propagation restrictions for data
14   and/or files retained on the system. Examples of such
15   Security Labels include hierarchial classifications such as
16   Confidential, Secret, Top Secret and/or a series of
17   categories or "Tickets" such as various assigned
18   "codewords".
19   Whenever an application requests an input/output
20   operation on a document, such as a application 28
21   requesting to read a document 32, the document labels (here
22   shown as 32a) associated with the requested documents are
23   added to the application's label 28a. The application 28
24   cannot open any document to which the user 26 does not have
25   access as determined by the user label 26a associated with
26   the user at logon and user identification.
27   When an application label increases, the session label
28   34, displayed on the screen for the user, is also
29   increased.
30   Conversely, when an application such as 30 writes a
31   document (here shown as 36), any additional categories are
32   noted and written into the document's label 36a. If the
33   security level of the application as then running is higher
34   than the document's original security level, the higher
35   security level is noted. The user can see what the new
36   label is and either accept it or change it as described
37   below.

1   In Figures 3 and 4, the present invention is shown in
2   an idealized diagram showing the various input/output
3   operations occurring in a system embodying the present
4   invention. A user 40 generates an operator request 42 to
5   the operating system 44 to launch one or more system
6   included applications 46 resulting in an executing
7   "Instance" of those programs, for input/output operation on
8   files 54 available on the system.   The applications
9   programs in turn make the necessary input/output requests
10   50 and 52 to read and write the user requested files.
11   There exists a Clipboard 55 which implements a
12   temporary holding buffer for data that is to be copied and
13   pasted between files. These read and write operations 56
14   and 57 are performed by the application instance per user
15   request.
16   In addition there is a means for the user 40 to
17   request that a user-selected portion of the screen 66 by
18   read 59 into the Clipboard 55 for subsequent pasting of
19   that image into any file 54.   Each file, the Clipboard,
20   each Application Instance and the Screen has a Security
21   Label 58 associated with it as shown in Figure 3 containing
22   various fields of information.   The Security Label 58
23   associated with of these objects 46, 54, 55 and 59, may
24   contain several fields, such as a Classification Level, any
25   required access "Tickets", and a Restriction format such
26   as "no copy", "no print", "no export", or "originator only
27   downgrade".   Likewise, a User Access Table 60 is
28   established for verification of the user's identity and
29   access profile and includes such fields 62 as: "user
30   identification", "user password", "user level access",
31   "user tickets map". At logon, the User Access Table 60 is
32   accessed by the system to determine and establish the
33   identity and classification access profile of the
34   individual user 40 requesting to login to the system 65.
35   While the above description emphasizes the method and
36   system of the present invention in comparing user access
37   levels with document access levels and disallowing access

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1   when the user access does not match, there are other
2   important novel and non-obvious aspects of the present
3   invention described below.
4   One such additional important design consideration,
5   based on the needs of the users for which the system is
6   intended, is the capability to merge documents of different
7   classifications while aiding the user in determining the
8   proper classification for the resulting document.
9   For example, a user may wish to make a presentation
10   describing a plan that he is working on, and may copy text
11   and pictures from other documents having security labels of
12   different   security   levels   to   create   a   composite
13   presentation   document   in   the   course   of   making   the
14   presentation.   The   system   and   method   of   the   present
15   invention "observes" or intercepts all data which enters
16   the application being used to prepare the presentation
17   document, and determines a classification for all documents
18   written   by   the   application   based   upon   a   preselected
19   weighing of all of the individual classifications found in
20   each separate document or piece of data being assembled
21   into the final presentation.   Upon user request the
22   invention then offers its suggested classification for the
23   composite presentation document to the user.
24   If the user does nothing to reclassify the document,
25   the present invention automatically assigns the document
26   its   suggested   classification.   The   invention   also
27   distinguishes for the user the original classification of
28   each document and the labels which it believes may have
29   been   included   in   creating   the   composite   presentation
30   document   (via   various   cut   and   paste,   and   other   I/O
31   operations such as reading a file).
32   The user is given the capability to accept the
33   suggested classification label or to downgrade or upgrade
34   the document as he sees fit.   This is in contrast to
35   compartmented-mode workstations which require the user to
36   log in at a particular security level and not create any
37   documents   classified   at   any   lower   level   nor   access

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1   documents classified at a higher level, making such
2   workstations unsuitable for the task outlined above.
3   By treating applications as a "black box" and
4   observing all data going in and out of the applications,
5   the present invention allows the use of commercial-off-the
6   -shelf applications and does not require any special
7   security features in the applications software being run on
8   a system embodying the present invention, i.e., "trusted"
9   or "certified" software.
10   The actions of the invention are at times more complex
11   than that outlined above. For example, not only is the
12   classification level of each application maintained and
13   assigned to documents written by that particular
14   application, but the classification level of the entire
15   session is maintained as well. Therefore, if the user
16   takes a screen snapshot and pastes it in a document, the
17   entire session label is applied to that document, since
18   portions of the screen owned by any other concurrently
19   running applications displaying data, may have been
20   included in the screen snapshot.
21   The further operation of a method and system embodying
22   the present invention is now described using the following
23   terms:
24   Application Instance - an application currently
25   executing on the system;
26   Security Label - a data structure which defines access
27   requirements, and propagation restrictions for data and/or
28   files retained on the system. Examples of such Security
29   Labels include hierarchial classifications such as
30   Confidential, Secret, Top Secret and/or a series of
31   categories or "Tickets" such as various assigned
32   "codewords".
33   Tickets - additional Security Labels restricting a
34   file or data to a select group granted a "ticket" for
35   access.
36   Clipboard - the operating system's inter-application
37   cut/copy/paste buffer utility;

Maximize - the combining of two security labels in accord with a pre-determined algorithm such as a selected set of weighted selection values.

The method and system of the present invention runs concurrently with the operating system to intercept any Input/output service calls to the operating system as follows:

1.   Whenever the operating system "launches" an application (an Application Instance), this interception entails the following steps:

A.   The Security Label of the Application Instance is set to the preselected Startup Application Security Label;

B.   If the Security Label indicates that the Clipboard buffer contains data which cannot be downgraded in classification, it prompts the user to either allow the read (and thus Maximize the Security Label of the Application Instance with that of the Clipboard) or to delete the contents of the Clipboard buffer, leaving the Security Label of the Application Instance as it originally was.

C.   If the Application Instance performs an automatic read of the Clipboard buffer, and the Security Label indicates that the data does not contain data which cannot be downgraded, then Maximize the Security Label of the Application Instance with that of the Clipboard buffer.

D.   Recalculate the Security Label of the screen as a Maximization of the Security Labels of all Application Instances.

2.   Whenever an Application Instance performs an open of a file, this interception entails the following steps:

A.   Maximize the Security Label of the Application Instance with the Security Label of the file being opened.

B.   Recalculate the Security Label of the screen as a Maximization of the Security Labels of all Application Instances.

3.   Whenever an Application Instance performs a write to a file, this interception entails the following steps:

1   A. Set the Security Label of the file to the Security
2   Label of the Application Instance.
3   B. Do not allow any write if there is a "no copy"
4   restriction on the data or file.
5   4. Whenever an Application Instance terminates, this
6   interception entails the following steps:
7   A. Recalculate the Security Label of the screen as a
8   Maximization of the Security Labels of all the remaining
9   Application Instances.
10   5. Whenever an attempt is made to "boot" or start-up
11 the operating system of the computer in the system, this
12 interception entails the following steps:
13   A. Prompt the user for username/password.
14   B. If username/password does not exist in the User
15 Access Table, then shutdown and deny any further access to
16 the system.
17   C. Otherwise, if the username/password is found in
18 the User Access Table, then set the Security Label of the
19 screen to the preselected Startup Screen Security Label.
20   6. Whenever an Application Instance performs a read
21 from the Clipboard, this interception entails the following
22 steps:
23   A. Maximize the Security Label of the Application
24 Instance with the Security Label of the Clipboard.
25   B. Recalculate the Security Label of the screen as a
26 Maximization of the Security Labels of all Application
27 Instances.
28   7. Whenever an Application Instance performs a write
29 to the Clipboard, this interception entails the following
30 steps:
31   A. Set the Security Label of the Clipboard to the
32 Security Label of the Application Instance.
33   8. Whenever an Application Instance performs a print
34 of a file, this interception entails the following steps:
35   A. Do not allow the print if a "no print" restriction
36 on the data or file.
37   B. Stamp the Security Label on all pages.

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1   The following Utilities embody features found in the
2   present invention:
3   A first Utility provides a means to display and allow
4   the user to modify, with restrictions, the Security Label
5   of a file as follows:
6   A.   Upon user request, the utility displays the
7   Security Label of the selected file;
8   B. The utility also provides a means to differentiate
9   for the user the Security Level and Tickets applied by the
10   security software from the Security Level and Tickets
11   applied by the user to the file.
12   C.   The utility prohibits certain Security Label
13   changes based on user-tailorable Restrictions.
14   A second Utility, upon user request, provides a means
15   to display the Security Label of a selected Application
16   Instance.
17   A third Utility provides a means to display the
18   Security Label of the screen by making it always visible
19   during a user session. Thus, constantly reminding the user
20   of the various classification levels of documents appearing
21   on the screen.
22   A fourth Utility provides a means for the user to
23   select a portion of the screen and take a "picture" of it,
24   putting the results into the Clipboard buffer for later
25   manipulation by the user.
26   A fifth Utility provides a means for the operator to
27   define the User Access Table, the Security Levels and
28   "Tickets", the Startup Screen Security label, and the
29   Startup Application Security Label.
30   The invention described above is, of course,
31   susceptible to many variations, modifications and changes,
32   all of which are within the skill of the art. It should be
33   understood that all such variations, modifications and
34   changes are within the spirit and scope of the invention
35   and of the appended claims. Similarly, it will be
36   understood that Applicant intends to cover and claim all
37   changes, modifications and variations of the example of the

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1   preferred embodiment of the invention herein disclosed for
2   the purpose of illustration which do not constitute
3   departures from the spirit and scope of the present
4   invention.

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WHAT IS CLAIMED IS:

1   1.   In a computer system interfacing Input/Output

2 requests between at least one user, identified by a unique

3 user identification symbol, and the computer system having

4 at least one data object containing data therein, a method

5 for providing occurrence level, value based security

6 protection, limiting for each user access to preselected,

7 but variable Input/Output operations on selected data

8 objects in the computer system, said method comprising

9 operating the computer to automatically perform the steps

10 of:

11   establishing and associating with each data object

12 selected for security protection, a data object security

13 access label representing a security profile defining a

14 user security access level and the Input/Output operations

15 permitted on the data object;

16   establishing a user security access table having, for

17 each user selected to have Input/Output access to the data

18 objects in the computer system, a first entry identifying

19 the user by the unique user identification symbol, and a

20 second entry representing a user security profile

21 associated therewith, said second entry defining the

22 security access level of the associated user;

23   set a session security level "flag" to a preselected

24 default condition representing one of said security access

25 levels;

26   parsing each Input/Output request from the user to the

27 computer system and extracting therefrom (1) the unique

28 user identification symbol of the user making the

29 Input/Output request; (2) the data object that is the

30 subject of the Input/Output request; and (3) the requested

31 Input/Output operation;

32   comparing the unique user identification symbol with

33 the first entry of the user security access table and

34 setting at the computer system a user security access

35 "flag" to an "allowed" condition and a user security level

36 "flag" to the security access level defined by the second

37 entry of the user security access table associated with the
38 user identification symbol if a match is found, and
39 otherwise setting each "flag" to a "denied" condition;
40   comparing the requested Input/Output operation being
41 requested with the data object security access label
42 associated with the data object that is the subject of the
43 Input/Output request, and setting at the computer system a
44 data object security access "flag" to an "allowed"
45 condition if a match is found and otherwise to a "denied"
46 condition;
47   comparing the session security level "flag" to the
48 user security access level defined in the security profile
49 for the data object that is the subject of the Input/Output
50 request, and setting the session security level "flag" to
51 the predetermined "higher" security level;
52   returning the Input/Output request to the computer
53 system for processing whenever said user security access
54 "flag" and said data object security access "flag" are both
55 in said "allowed" condition.

1   2.   A method as in claim 1, further including the
2 steps of:
3   writing at the computer system to a security violation
4 log the unique user identification symbol whenever said
5 user security access flag, said user security level flag or
6 said data object security access flag is in said "denied"
7 condition and canceling the execution of the parsed
8 Input/Output request by the computer system.

1   3.   A method as in claim 1, further including the
2 steps of:
3   returning a preselected message to the computer system
4 user whenever said user security access flag, said user
5 security level flag or said data object security access
6 flag is in said "denied" condition and canceling the
7 execution of the parsed Input/Output request by the
8 computer system.

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4.   A method as in claim 1, further including the steps of:

allowing the computer system user to access and modify the data object security label whenever said user security access flag, said user security level flag, and said data object security access flag are each in said "allowed" condition.

5.   A method as in claim 1, further including the steps of:

retaining said data object security access label, said user security access table and said session security level flag until the computer system user logs off the computer system.

6.   In a computer system interfacing Input/Output requests between at least one user, identified by a unique user identification symbol, and the computer system having at least one data object containing data therein, a method for providing occurrence level, value based security protection, limiting for each user access to preselected, but variable Input/Output operations on selected data objects in the computer system, said method comprising operating the computer to automatically perform the steps of:

establishing and associating with each data object selected for security protection, a data object security access label representing a security profile defining a user security access level and the Input/Output operations permitted on the data object;

establishing a user security access table having, for each user selected to have Input/Output access to the data objects in the computer system, a first entry identifying the user by the unique user identification symbol, and a second entry representing a user security profile associated therewith, said second entry defining the security access level of the associated user;

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23   set a session security level "flag" to a preselected
24   default condition representing one of said security access
25   levels;
26   parsing each Input/Output request from the user to the
27   computer system and extracting therefrom (1) the unique
28   user identification symbol of the user making the
29   Input/Output request; (2) the data object that is the
30   subject of the Input/Output request; and (3) the requested
31   Input/Output operation;
32   comparing the unique user identification symbol with
33   the first entry of the user security access table and
34   setting at the computer system a user security access
35   "flag" to an "allowed" condition and a user security level
36   "flag" to the security access level defined by the second
37   entry of the user security access table associated with the
38   user identification symbol if a match is found, and
39   otherwise setting each "flag" to a "denied" condition;
40   comparing the requested Input/Output operation being
41   requested with the data object security access label
42   associated with the data object that is the subject of the
43   Input/Output request, and setting at the computer system a
44   data object security access "flag" to an "allowed"
45   condition if a match is found and otherwise to a "denied"
46   condition;
47   comparing the session security level "flag" to the
48   user security access level defined in the security profile
49   for the data object that is the subject of the Input/Output
50   request, and setting the session security level "flag" to
51   the predetermined "higher" security level;
52   returning the Input/Output request to the computer
53   system for processing whenever said user security access
54   "flag" and said data object security access "flag" are both
55   in said "allowed" condition;
56   writing at the computer system to a security violation
57   log the unique user identification symbol whenever said
58   user security access flag, said user security level flag or
59   said data object security access flag is in said "denied"

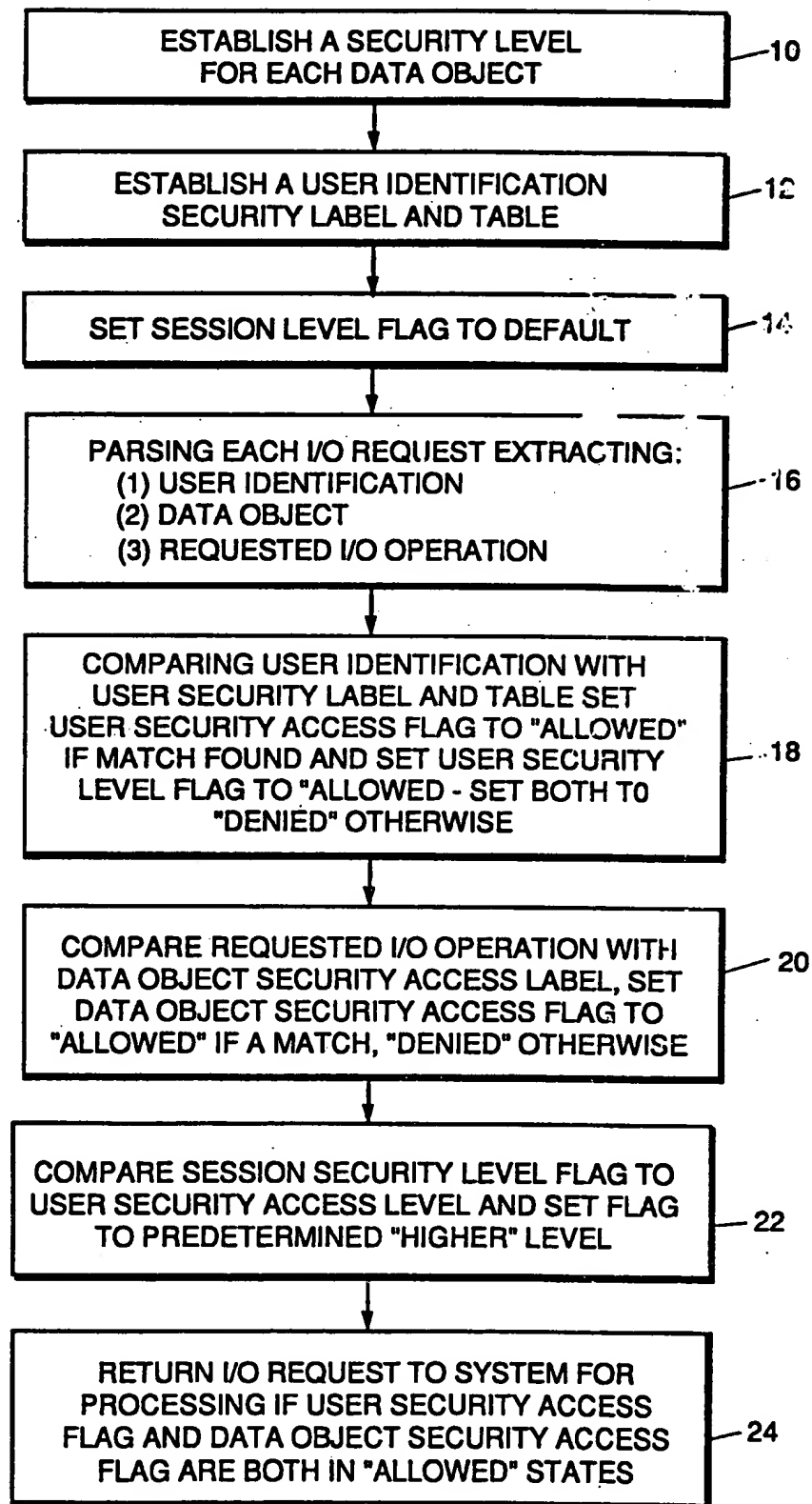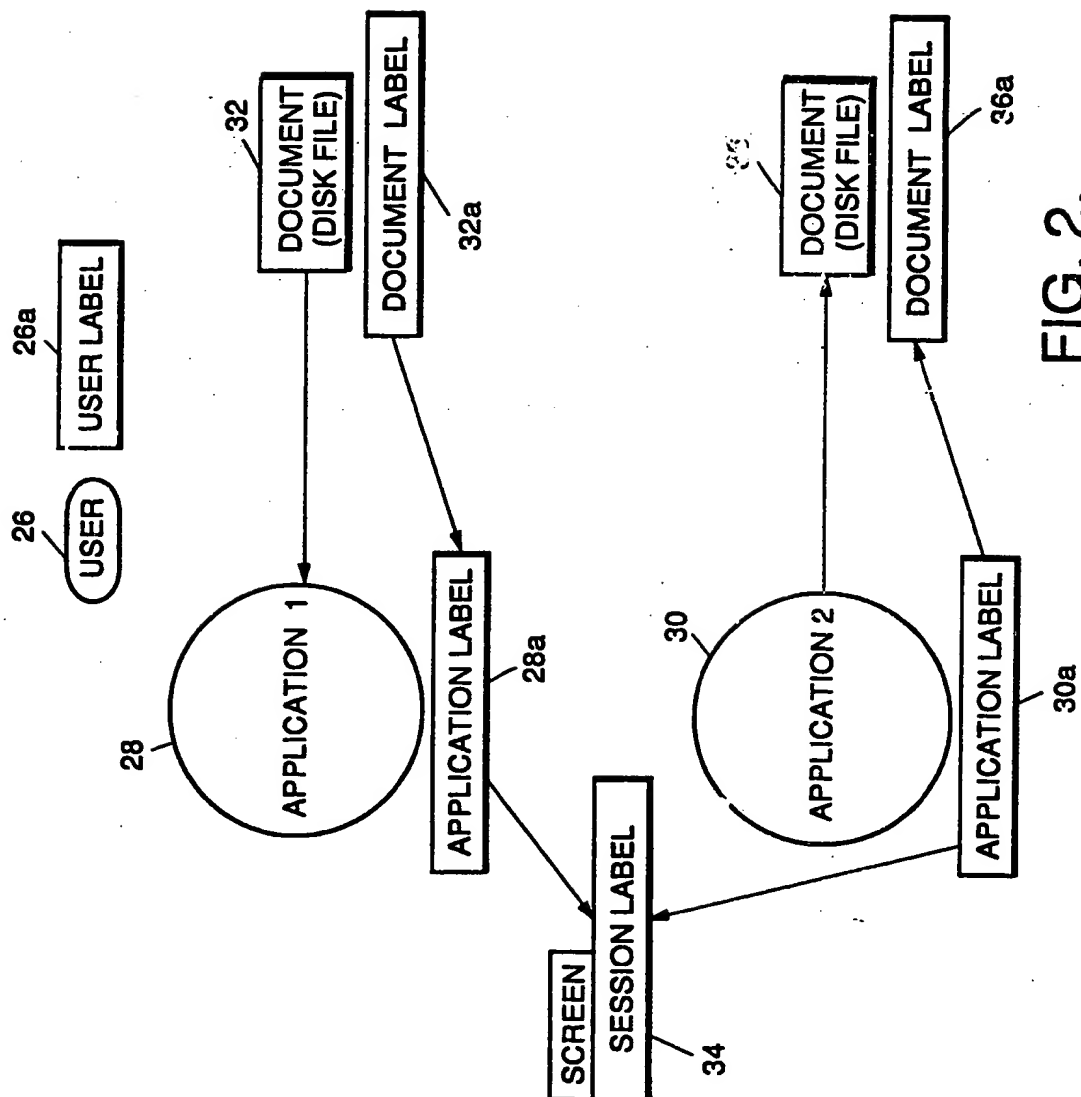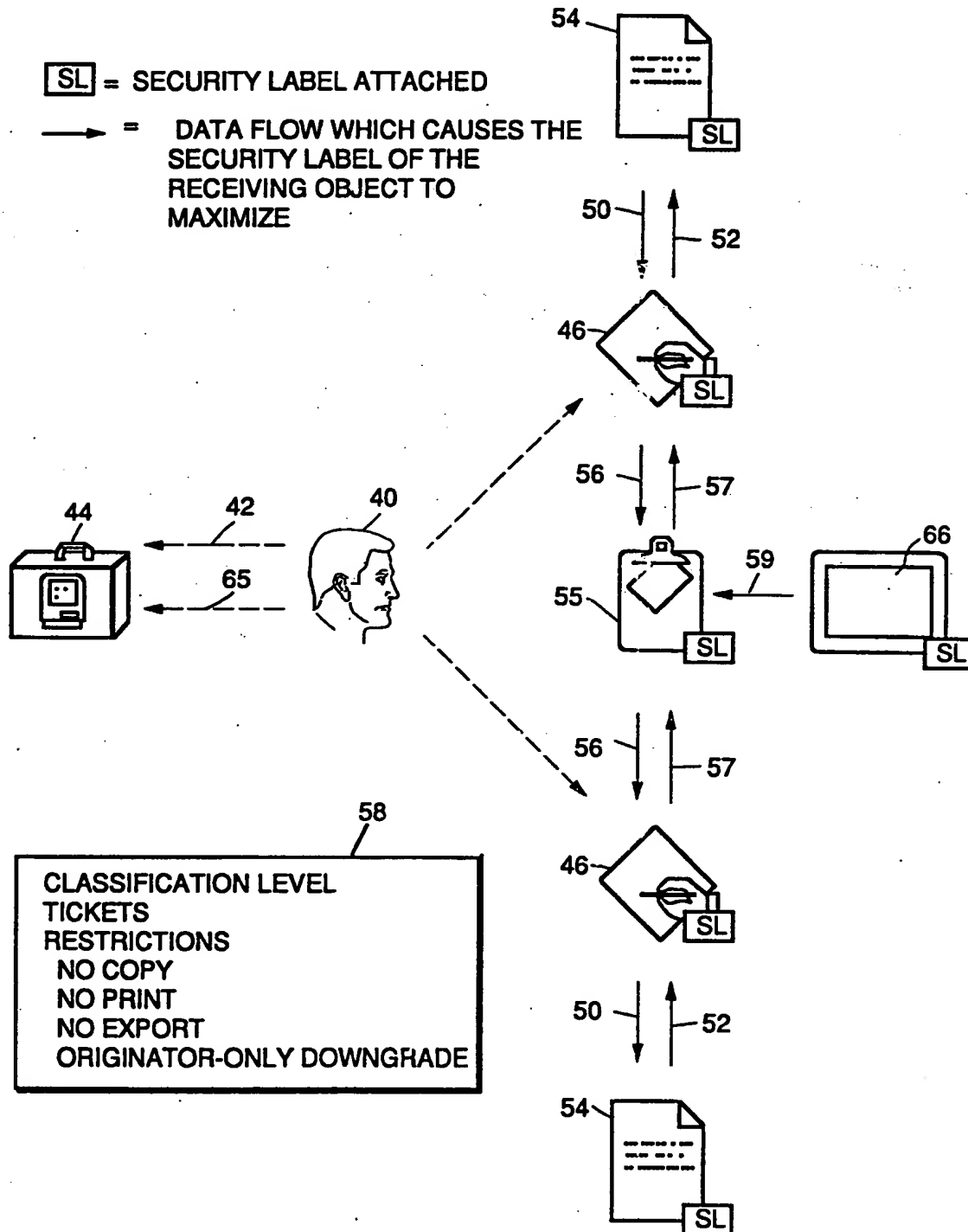|	
60	condition and canceling the execution of the parsed
61	Input/Output request by the computer system;
62	returning a preselected message to the computer system
63	user whenever said user security access flag, said user
64	security level flag or said data object security access
65	flag is in said "denied" condition and canceling the
66	execution of the parsed Input/Output request by the
67	computer system;
68	allowing the computer system user to access and modify
69	the data object security label whenever said user security
70	access flag, said user security level flag, and said data
71	object security access flag are each in said "allowed"
72	condition;
73	retaining said data object security access label, said
74	user security access table and said session security level
75	flag until the computer system user logs off the computer
76	system.

ESTABLISH A SECURITY LEVEL
FOR EACH DATA OBJECT — 10

ESTABLISH A USER IDENTIFICATION
SECURITY LABEL AND TABLE — 12

SET SESSION LEVEL FLAG TO DEFAULT — 14

PARSING EACH I/O REQUEST EXTRACTING:
(1) USER IDENTIFICATION
(2) DATA OBJECT
(3) REQUESTED I/O OPERATION — 16

COMPARING USER IDENTIFICATION WITH
USER SECURITY LABEL AND TABLE SET
USER SECURITY ACCESS FLAG TO "ALLOWED"
IF MATCH FOUND AND SET USER SECURITY
LEVEL FLAG TO "ALLOWED - SET BOTH TO
"DENIED" OTHERWISE — 18

COMPARE REQUESTED I/O OPERATION WITH
DATA OBJECT SECURITY ACCESS LABEL, SET
DATA OBJECT SECURITY ACCESS FLAG TO
"ALLOWED" IF A MATCH, "DENIED" OTHERWISE — 20

COMPARE SESSION SECURITY LEVEL FLAG TO
USER SECURITY ACCESS LEVEL AND SET FLAG
TO PREDETERMINED "HIGHER" LEVEL — 22

RETURN I/O REQUEST TO SYSTEM FOR
PROCESSING IF USER SECURITY ACCESS
FLAG AND DATA OBJECT SECURITY ACCESS
FLAG ARE BOTH IN "ALLOWED" STATES — 24

FIG. 1.

FIG. 2.

FIG. 3.

SL = SECURITY LABEL ATTACHED

→ = DATA FLOW WHICH CAUSES THE
SECURITY LABEL OF THE
RECEIVING OBJECT TO
MAXIMIZE

58

CLASSIFICATION LEVEL
TICKETS
RESTRICTIONS
 NO COPY
 NO PRINT
 NO EXPORT
 ORIGINATOR-ONLY DOWNGRADE

62

60

```
USER ID
USER PASSWORD
USER LEVEL
USER TICKETS
```

user access table

FIG. 4.

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6   G06F1/00   G06F12/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6   G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A,4 956 769 (SMITH) 11 September 1990 see abstract; figures 1,2 see column 1, line 50 - column 6, line 60	1-3,5
Y		4
Y	COMPUTERS & SECURITY, vol.6, no.6, December 1987, AMSTERDAM, NL; pages 479 - 492 M.B.THURAISINGHAM 'Security Checking in Relational Database Management Systems Augmented with Inference Engines' see page 479, right column, line 1 - line 39 see page 483, right column, line 27 - page 484, right column, line 40	4

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[X] Further documents are listed in the continuation of box C.   [X] Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
27 February 1995	07.03.95

Name and mailing address of the ISA	Authorized officer
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Form PCT/ISA/210 (second sheet) (July 1992)

ernational Application No

PCT/US 94/12457

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category°	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP,A,0 421 409 (IBM) 10 April 1991 see abstract; figures 7-9 see page 7, line 6 - page 8, line 27 see page 9, line 6 - line 25	1-3

1

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EP-A-0421409	10-04-91	US-A-	5048085	10-09-91
		CA-A-	2026739	07-04-91
		JP-A-	3237551	23-10-91
		US-A-	5148481	15-09-92